

**2. Amendments to the Drawings:**

A separate Transmittal of Formal Drawings is submitted, including a complete new set of drawings. These new drawings were corrected so that the figure identifications are all done in the same identical style throughout all of the drawings, rather than having two different figure identification styles on different sheets of drawings.

The formal drawing sheets submitted in connection with the above-identified application and containing Figures 2, 16, and 23 are presented on replacement formal drawing sheets that are submitted herewith as substitutes for the previously-submitted informal drawing sheets containing these same figures. Figures 2, 16, and 23 have each been amended.

Appendix A, appended to this response, presents annotated copies of the previously submitted drawing sheets containing these three Figures marked in red to show the changes that are presented in the replacement sheets of each drawing. Allowance of these changes is respectfully requested.

**A. Changes Made to Figure 2**

The specific change which has been made to Figure 2 is that the double-ended arrow connecting the two boxes 104 and 106 has been assigned the new reference number “323”. The new reference number “323” is also being inserted into the text of paragraphs 81 and 100 by the present amendment. In the specification, as amended by this amendment, this double-ended arrow is now referred to as a “... wide area network 323”.

These changes are submitted as part of applicants’ response to the Examiner’s objections to paragraph 81 of the specification, as is explained more fully in the remarks that follow.

**B. Changes Made to Figure 16**

The specific change which has been made to Figure 16 is that a reference number “1606” has been added to the box containing the text: “DEVELOP A MANAGEMENT SUMMARY

REPORT TEMPLATE THAT ....” This reference number “1606” is not new, but is referred to in paragraph 173, which reads in part:

... at step 1606, the expert then moves on to develop a management summary report template that ....

This change is responsive to the Examiner’s objection to the lack of such a reference number in Figure 16.

### **C. Changes Made to Figure 23**

The specific change which has been made to Figure 23 is that the relative positioning of the reference number 2210 and of the lead line that connects this reference number to the box labeled “ANALYZER IS EXECUTABLE OR ...” has been adjusted so that the reference number and lead line are closer together. This change is responsive to a specific requirement of the Examiner.



Atty. Dkt. No. 084061/0179  
H/P No. 10015199-1

#9  
T.D.  
03/30/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter Van Giel, et al.  
  
Title: A METHOD AND SYSTEM  
FOR AUDITING AN  
ENTERPRISE  
CONFIGURATION  
  
Appl. No.: 09/851,963  
  
Filing Date: 05/10,2001  
  
Examiner: Bell Meltin  
  
Art Unit: 2121

<p><b>CERTIFICATE OF MAILING</b></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.</p> <p><u>March 19, 2004</u> (Date of Deposit)</p> <p><u>Christine Koziol</u> (Printed Name)</p> <p><u>Christine Koziol</u> (Signature)</p>
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SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents  
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ATTENTION: DRAWING REVIEW BRANCH

Sir:

Transmitted herewith are the formal drawings (24 sheets, Figures 1-26) for the above-identified application. The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

Date March 19, 2004

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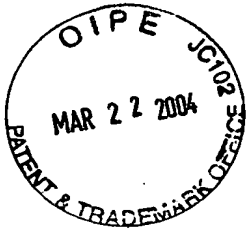
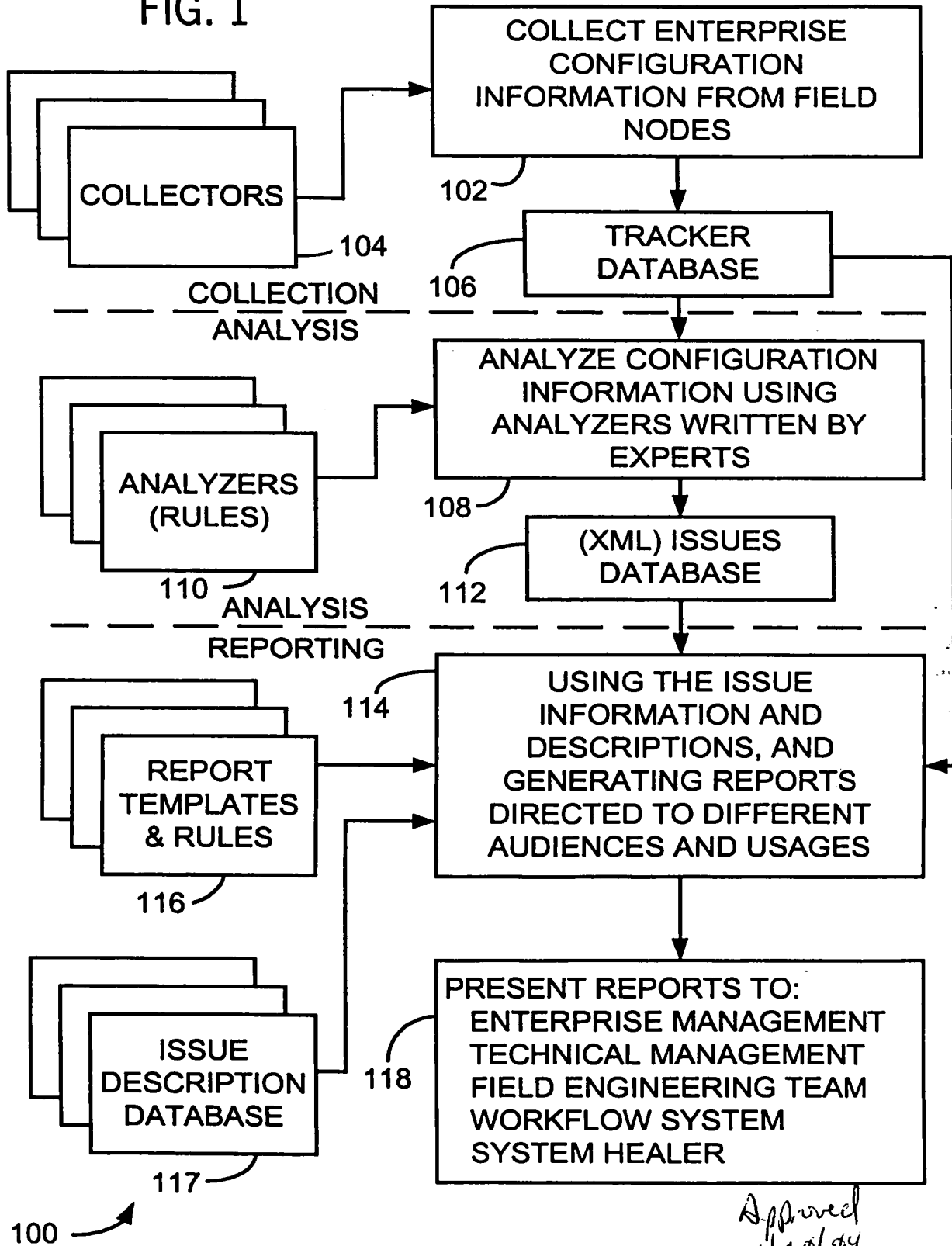


FIG. 1



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4/15/04  
M.B.



FIG. 2 ENTERPRISE LINKED TO ANALYZER AND REPORT GENERATOR

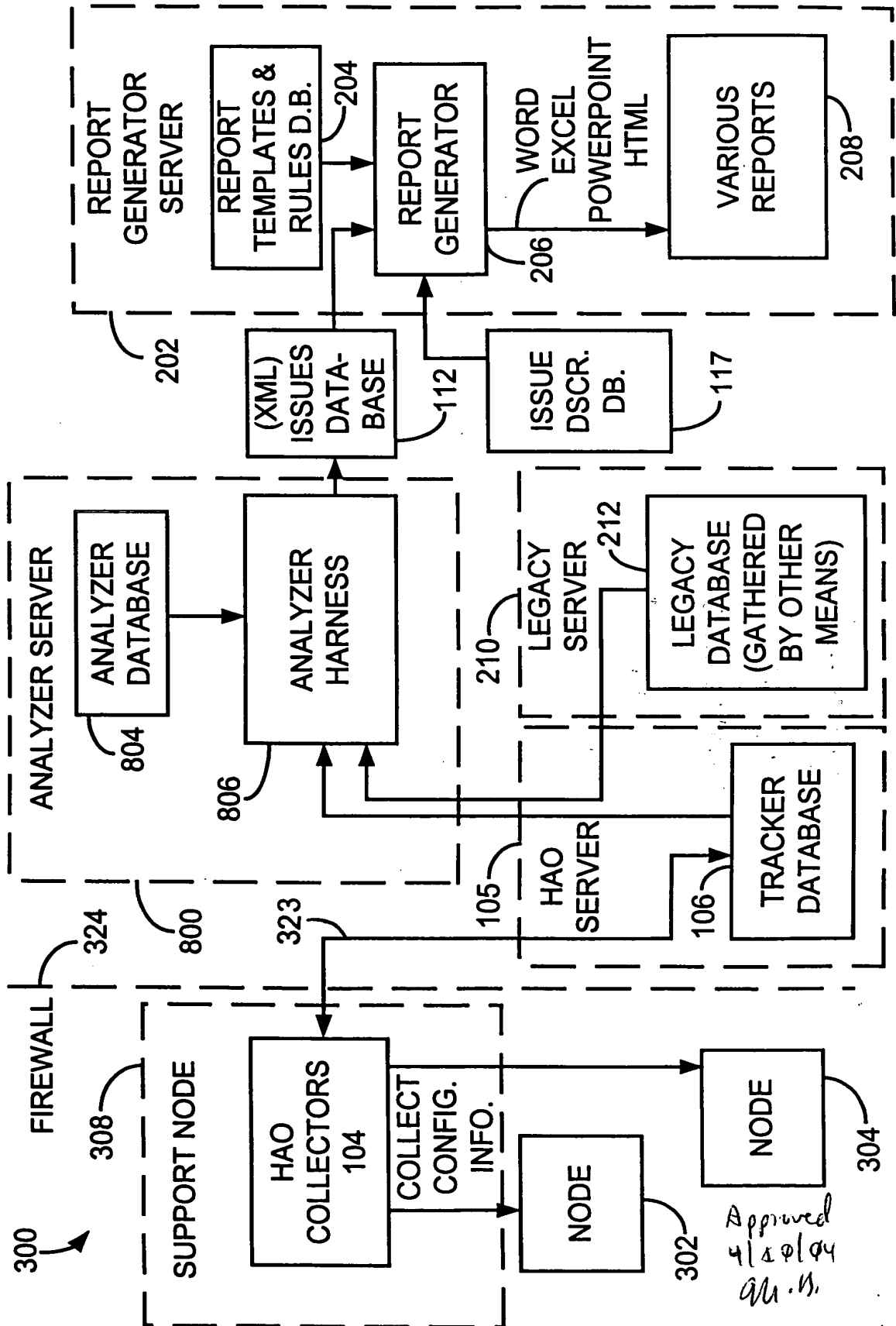
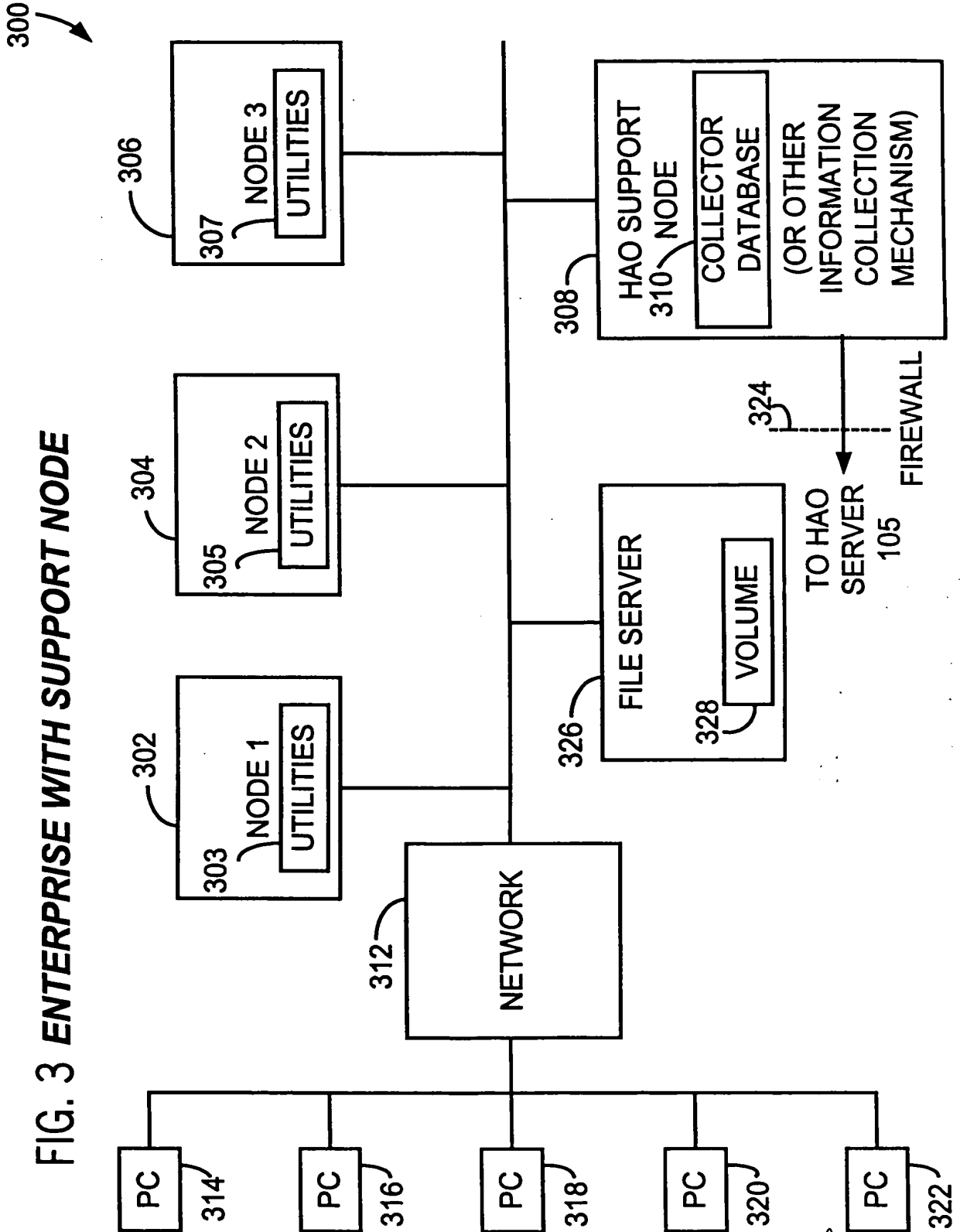




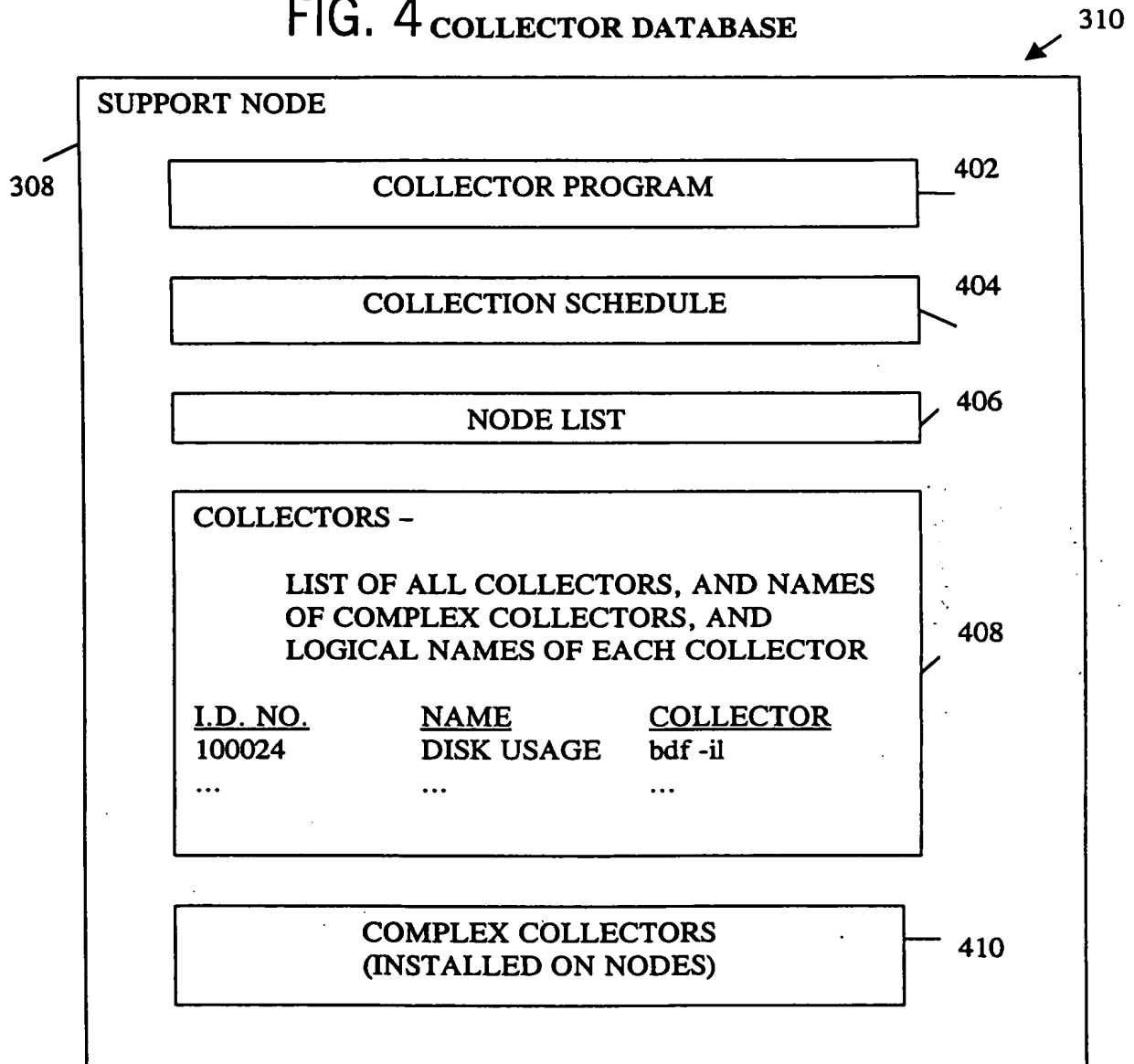
FIG. 3 ENTERPRISE WITH SUPPORT NODE



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6/14/04  
gh-y



FIG. 4 COLLECTOR DATABASE



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FIG. 5 COLLECTOR CREATION

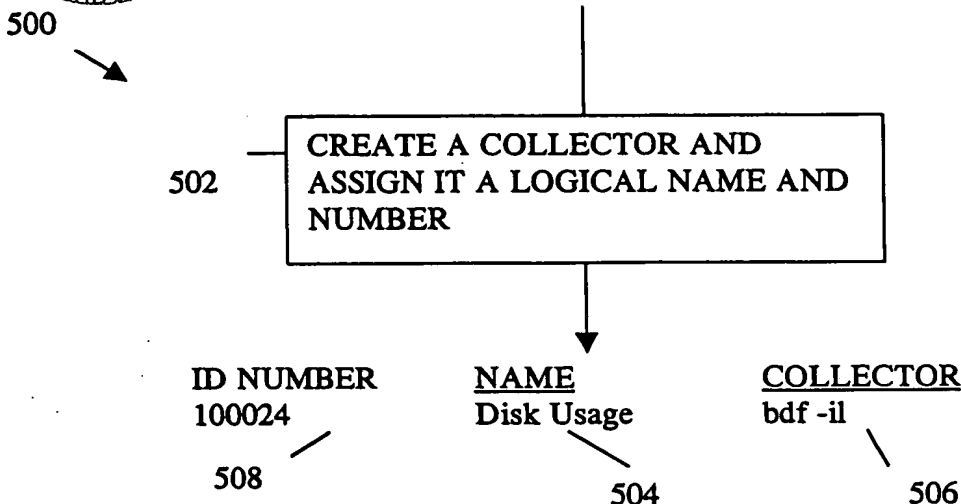
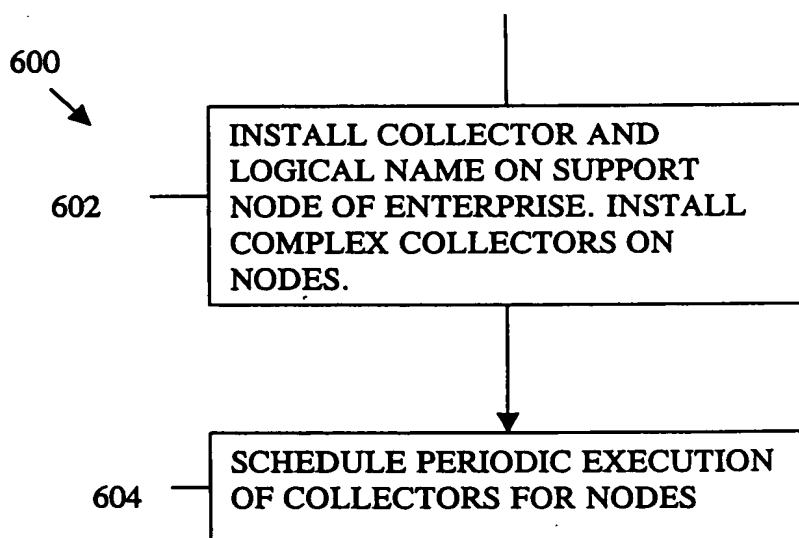


FIG. 6 COLLECTOR INSTALLATION  
ON ENTERPRISE

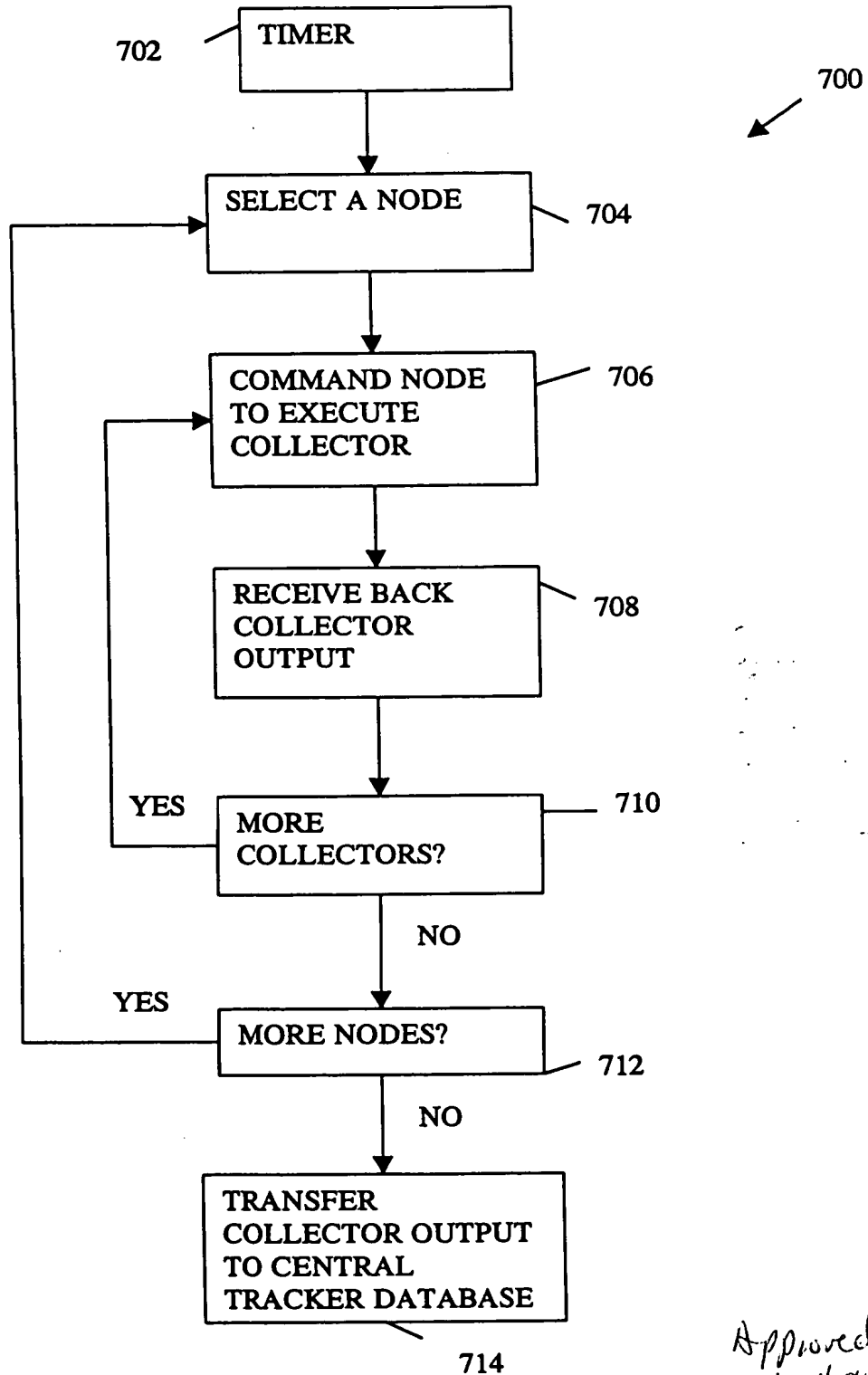


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4/26/04  
m.h.

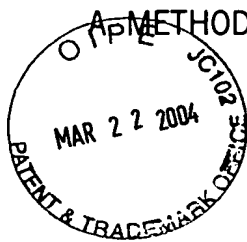




FIG. 7 ENTERPRISE COLLECTOR EXECUTION

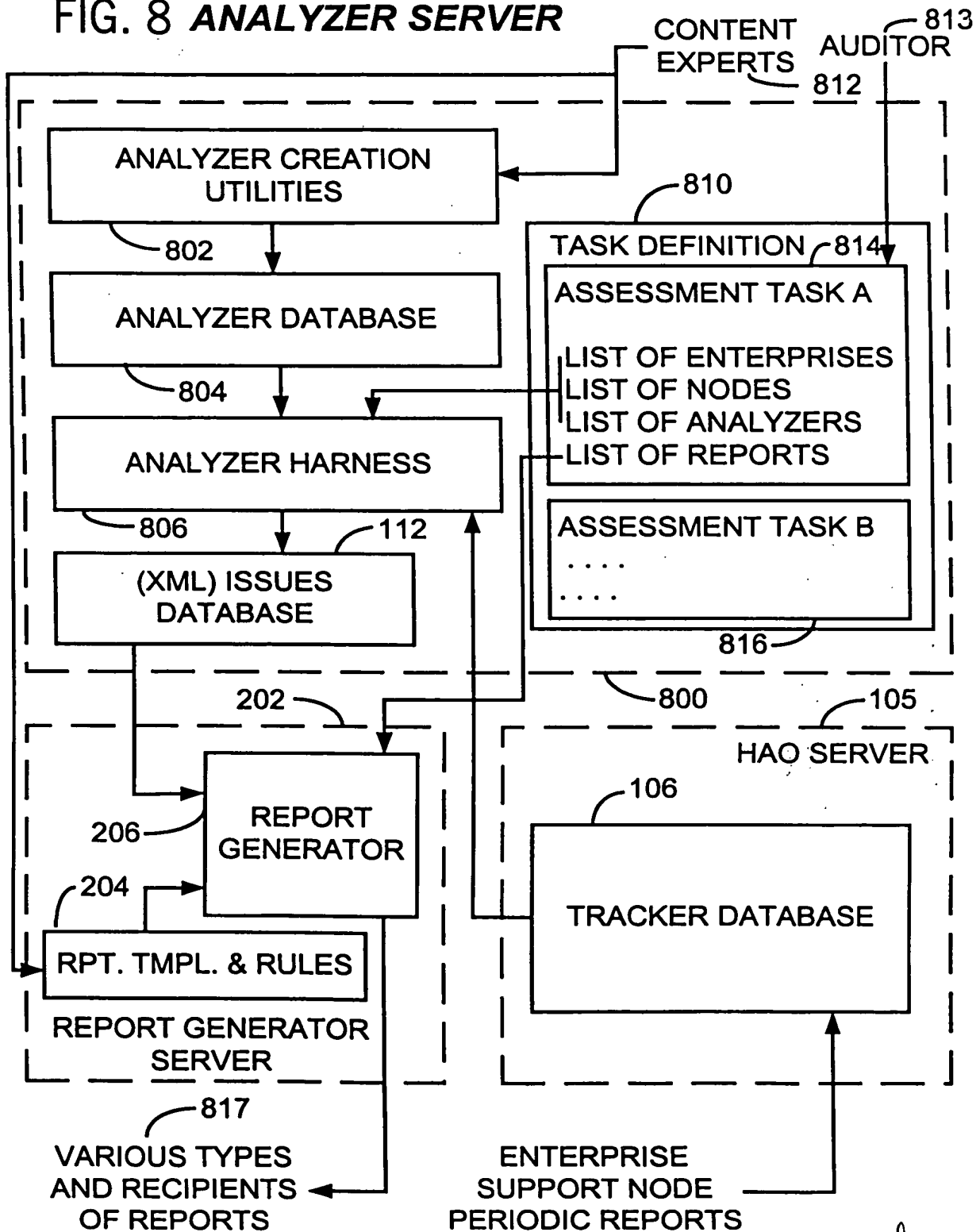


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M.H.



A METHOD AND SYSTEM FOR AUDITING AN ENTERPRISE CONFIGURATION  
PETER VAN GIEL, ET AL.  
09 / 851,963 (DKT. #10015199-1)

FIG. 8 ANALYZER SERVER

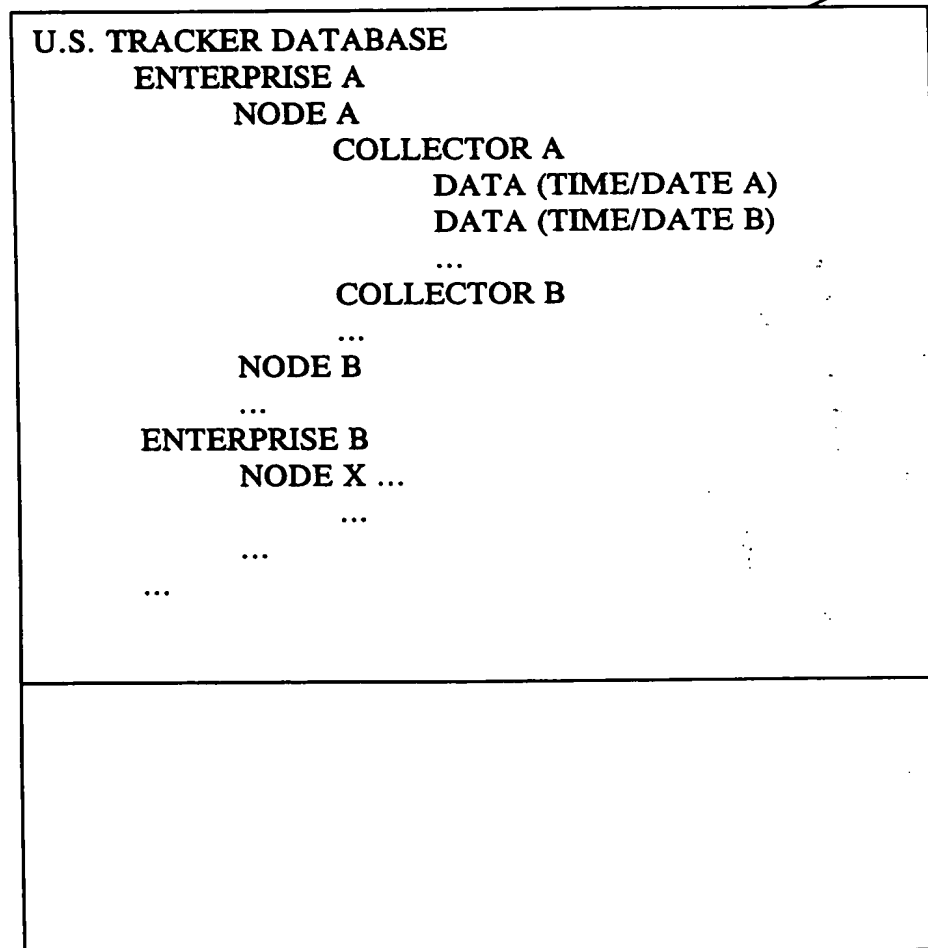


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FIG. 9 TRACKER DATABASE

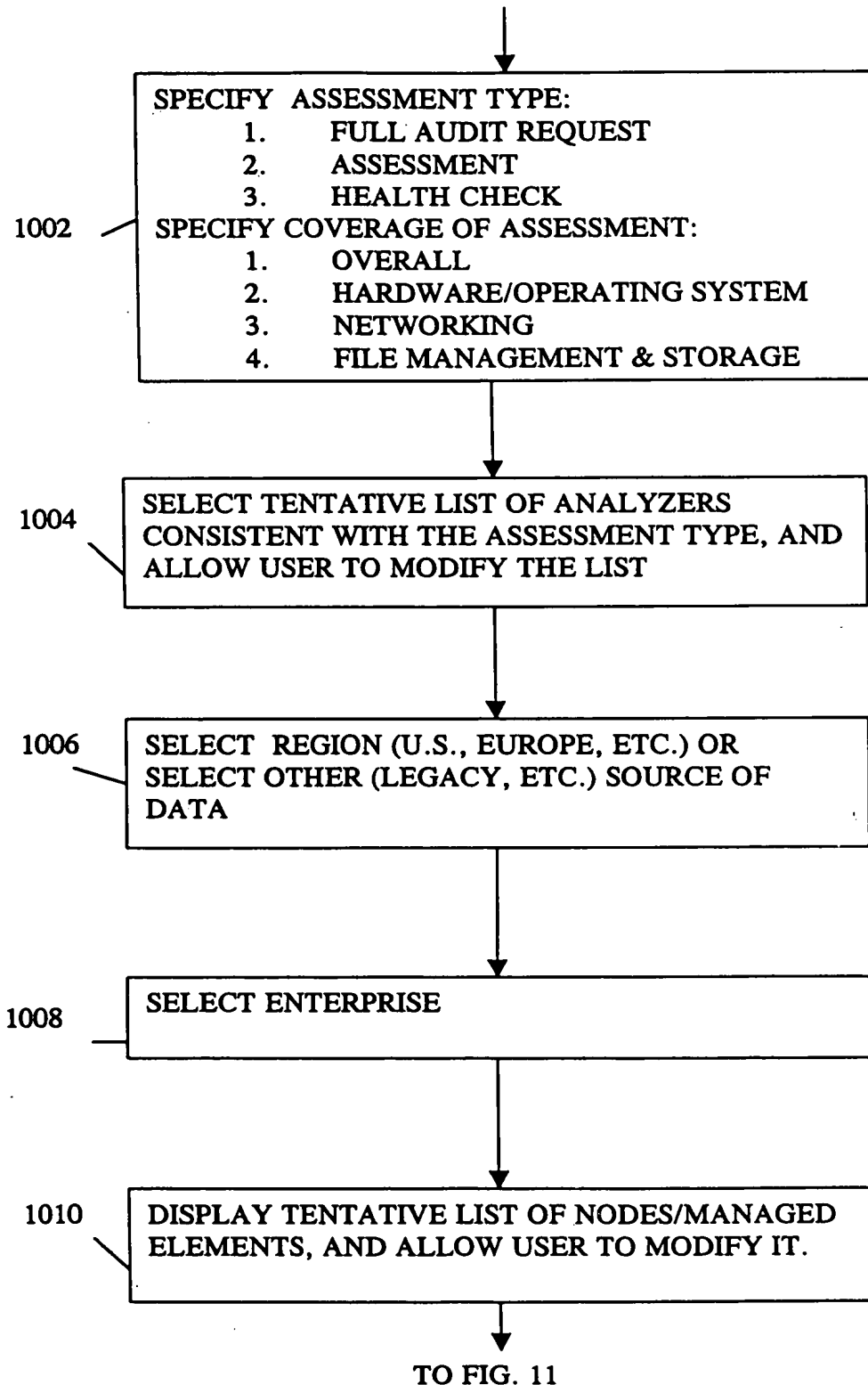
106



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4/26/04  
P.H.H.



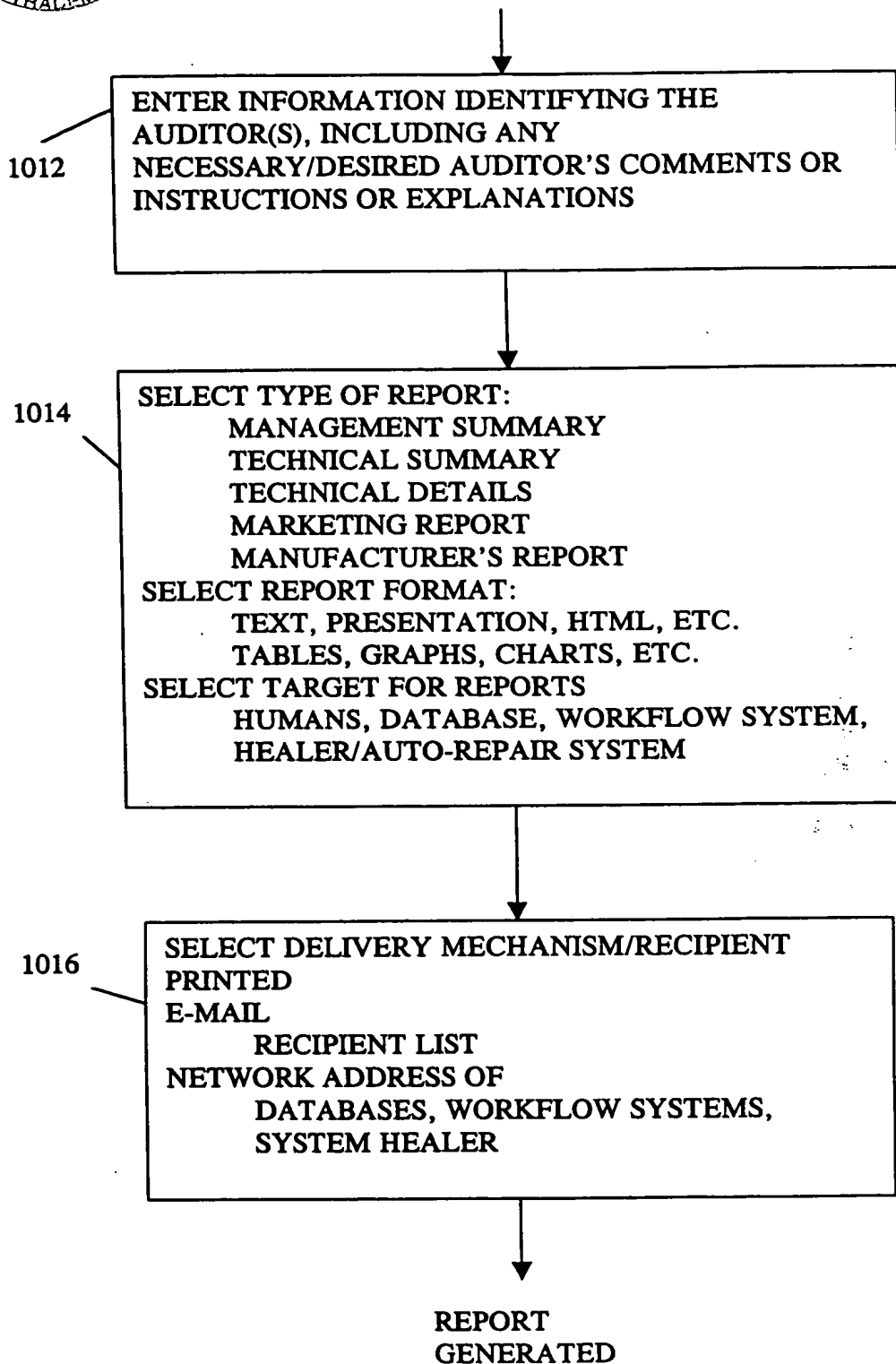
FIG. 10 TASK DEFINITION



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4/16/04  
JH.H.



FIG. 11 REPORT DEFINITION

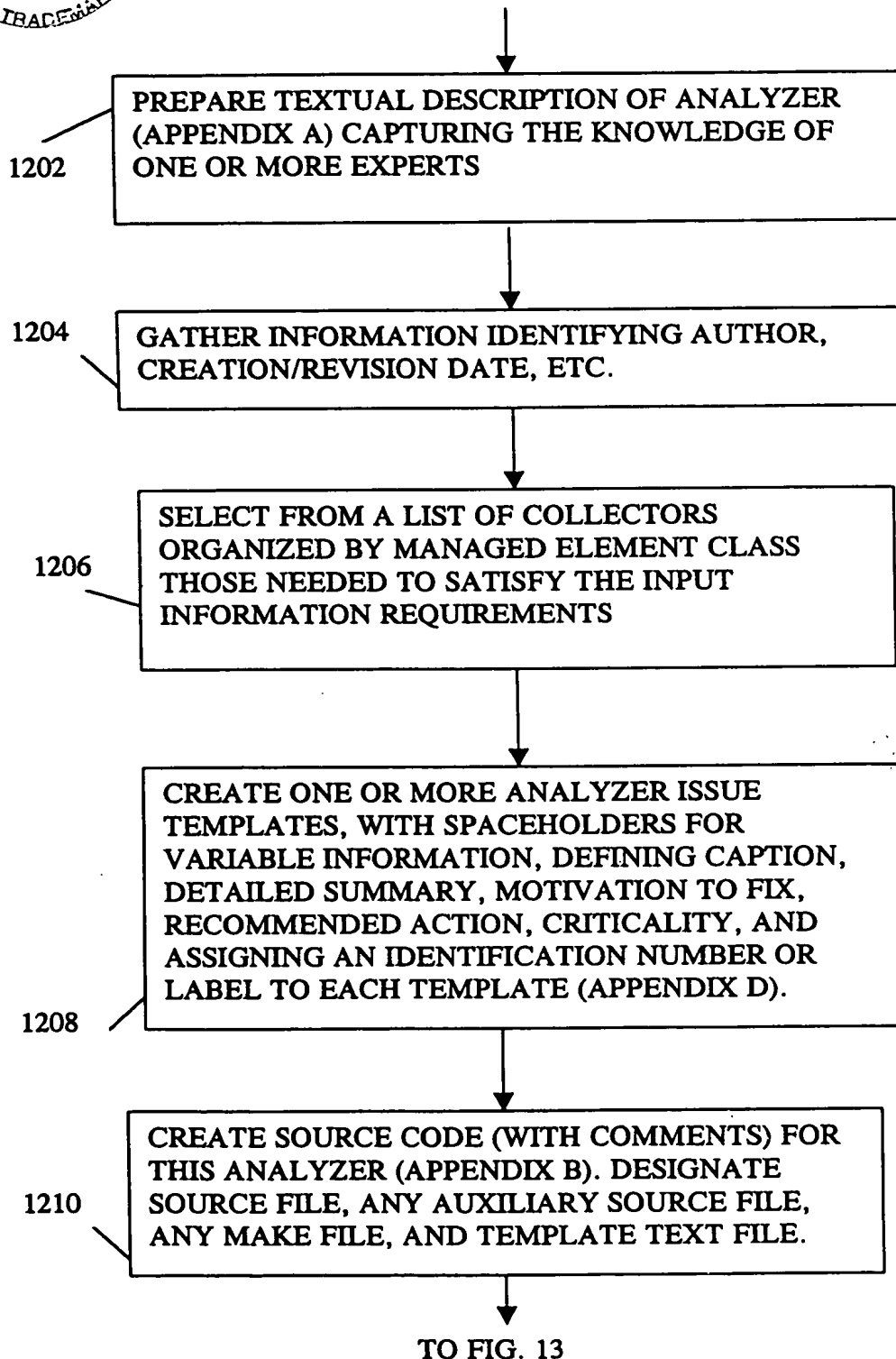


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FIG. 12 ANALYZER CREATION

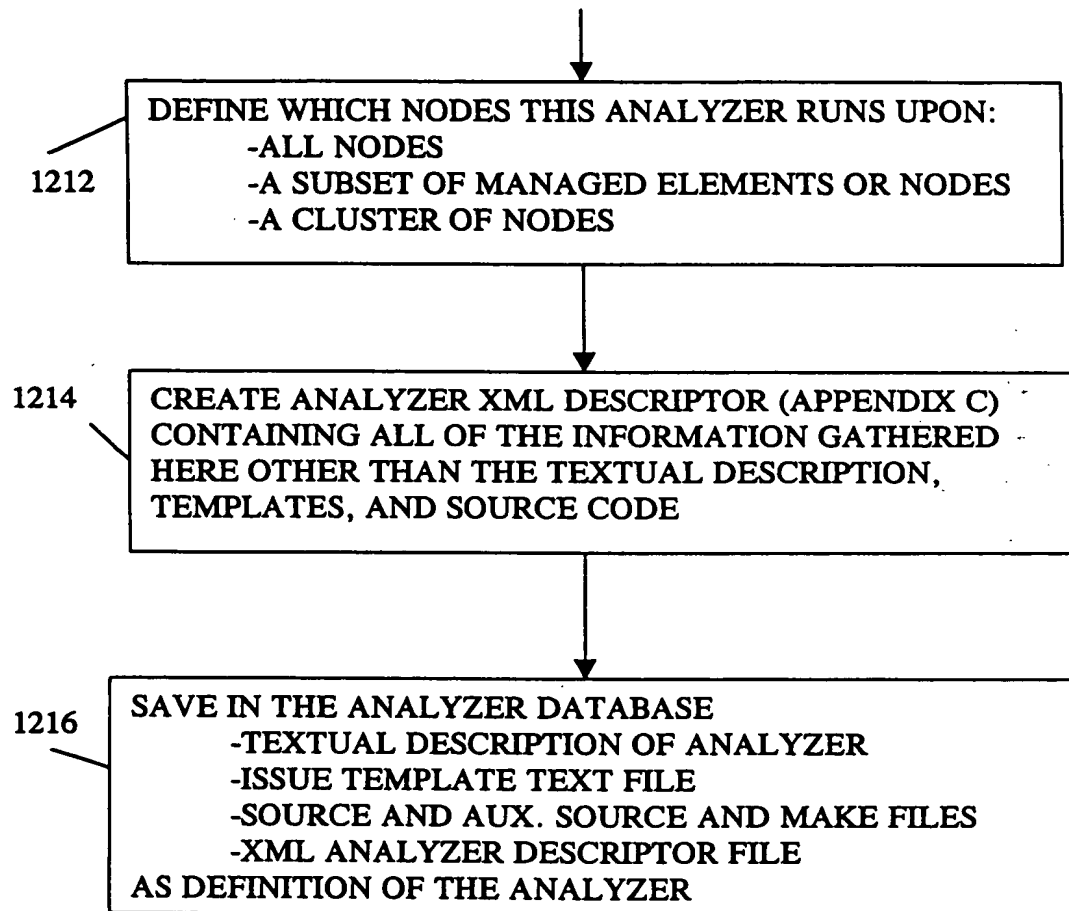
1200



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4/16/04  
gu. y.



FIG. 13 ANALYZER CREATION (CONT.)

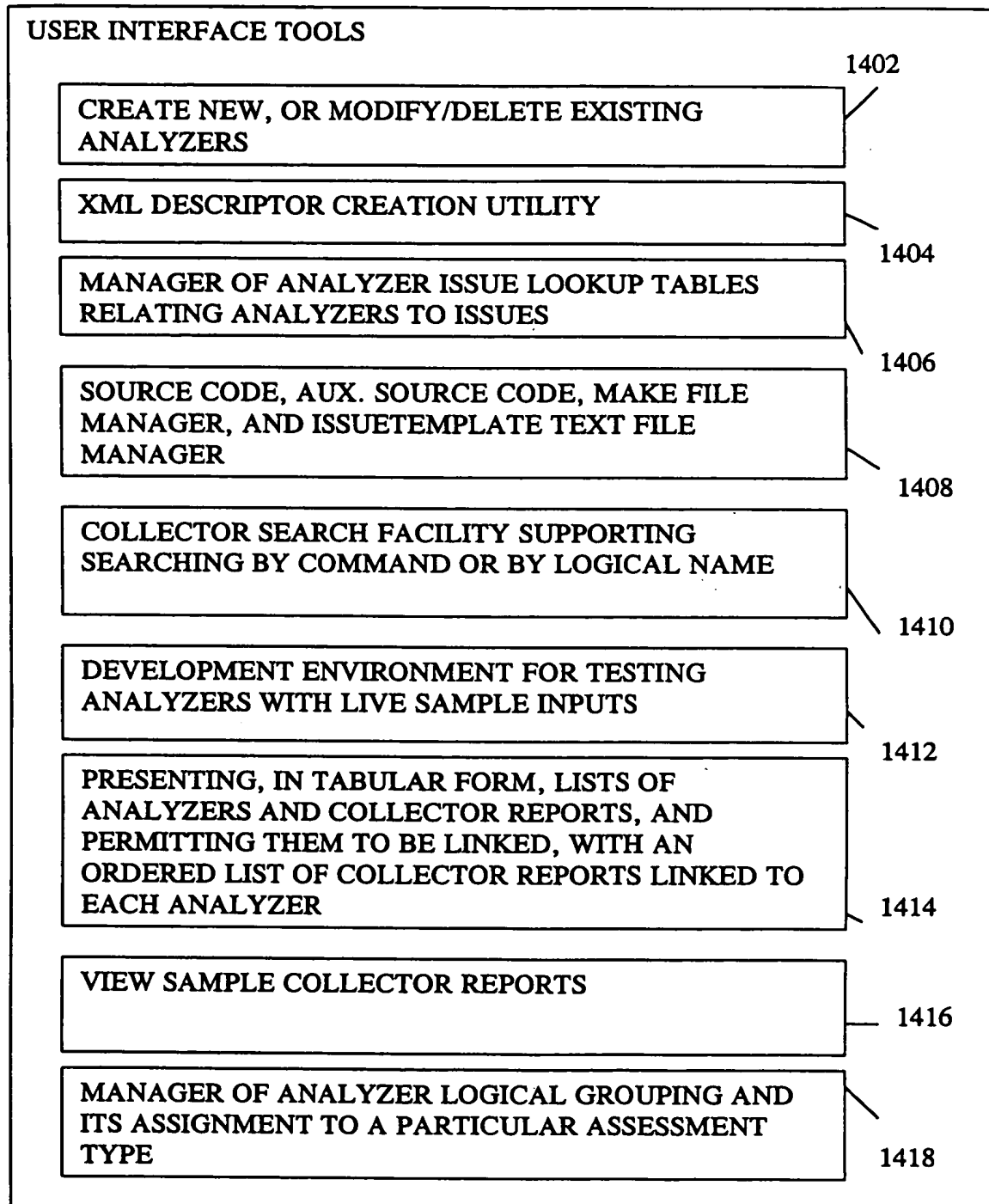


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**FIG. 14 ANALYZER CREATION USER INTERFACE**

1400



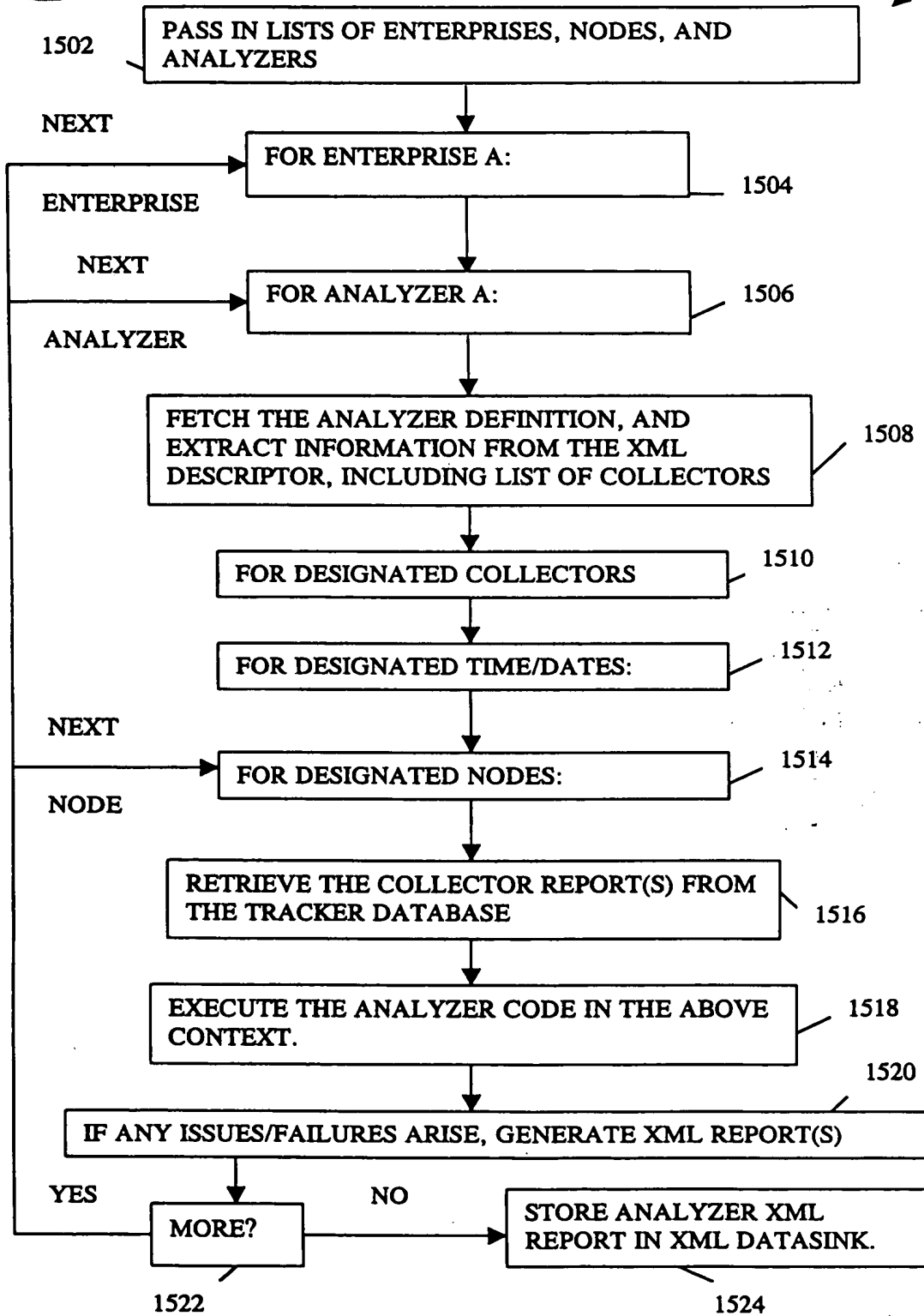
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FIG. 15 ANALYZER HARNESS

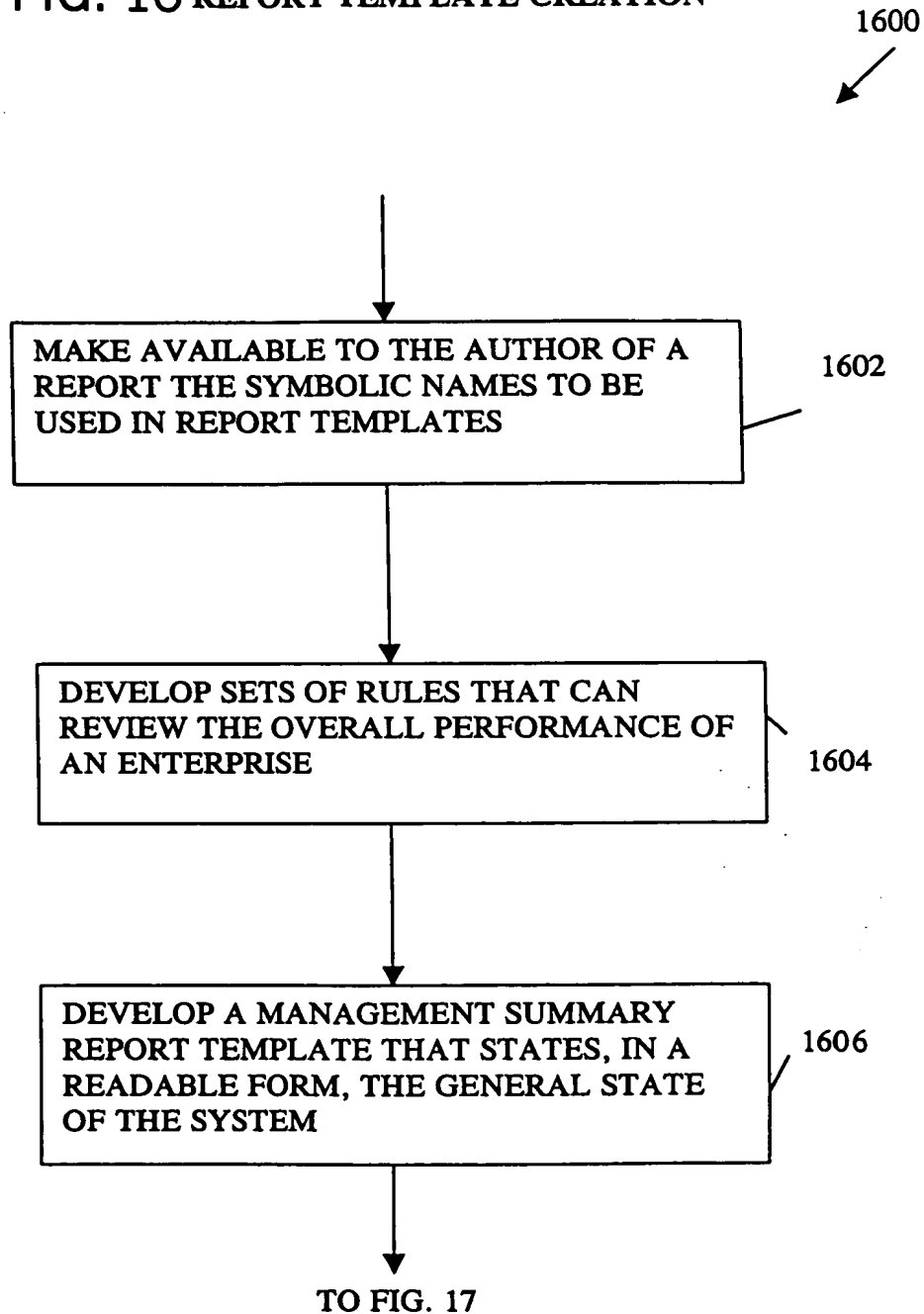
1500



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**FIG. 16** REPORT TEMPLATE CREATION



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M.H.



## FIG. 17

FROM FIG. 16

1608

DEVELOP A TECHNICAL  
MANAGEMENT SUMMARY REPORT  
THAT LISTS CRITICAL POINTS IN  
SUMMARY FORM, STATES THEIR  
DEGREE OF CRITICALITY, AND  
PRESENTS EXPLANATIONS AND COST  
ESTIMATES.

1610

DEVELOP A TECHNICAL DETAIL  
TEMPLATE WHICH PRESENTS THE  
SPECIFIC ISSUES FOR EACH OF THE  
NODES OR GROUPS OF NODES.  
INCLUDE ANY MOTIVATIONS TO FIX  
EACH ISSUE, THE COST TO  
IMPLEMENT CHANGES, THE DETAILS  
OF EACH ISSUE, AND ANY  
SUPPORTING TEXT OR SNIPPETS OF  
THE COLLECTOR OUTPUT AS NEEDED  
TO CLARIFY AN ISSUE.

TO FIG. 18

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## FIG. 18

FROM FIG. 17

1612

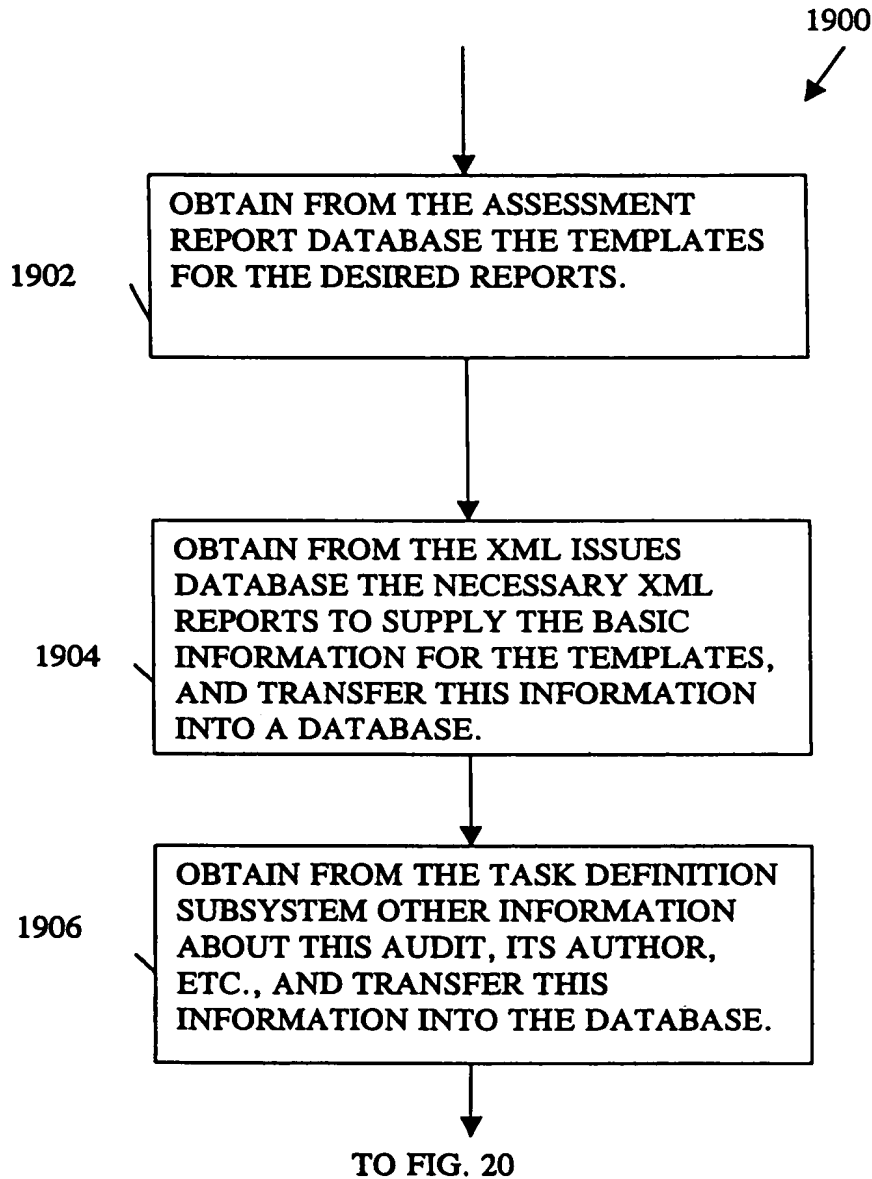
DEVELOP A VARIETY OF MASTER REPORT TEMPLATES INTENDED FOR DIFFERING AUDIENCES AND BUSINESS NEEDS INCLUDING VARYING COMBINATIONS OF THE ABOVE REPORTS AS WELL AS ADDITIONAL INTRODUCTORY AND EXPLANATORY INFORMATION TO PLACE THE REPORTS IN CONTEXT.

IN ADDITION, THE USER OF THE REPORT GENERATOR MAY PRODUCE ANY REPORT THAT IS NECESSARY OR DESIRABLE, COMBINING THE AVAILABLE INFORMATION IN ANY WAY THAT MAKES SENSE, GIVEN THEIR NEEDS.

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4/26/04  
m.h.



**FIG. 19 REPORT GENERATOR**

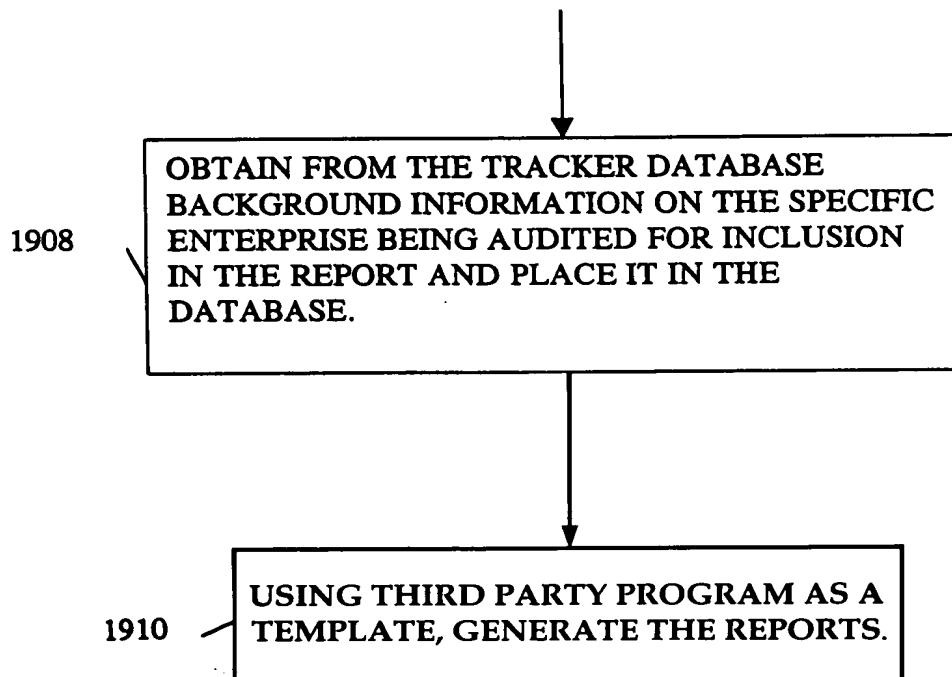


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## FIG. 20

FROM FIG. 19



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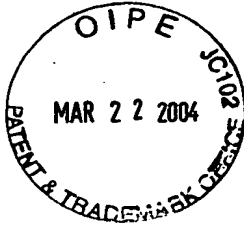


FIG. 21

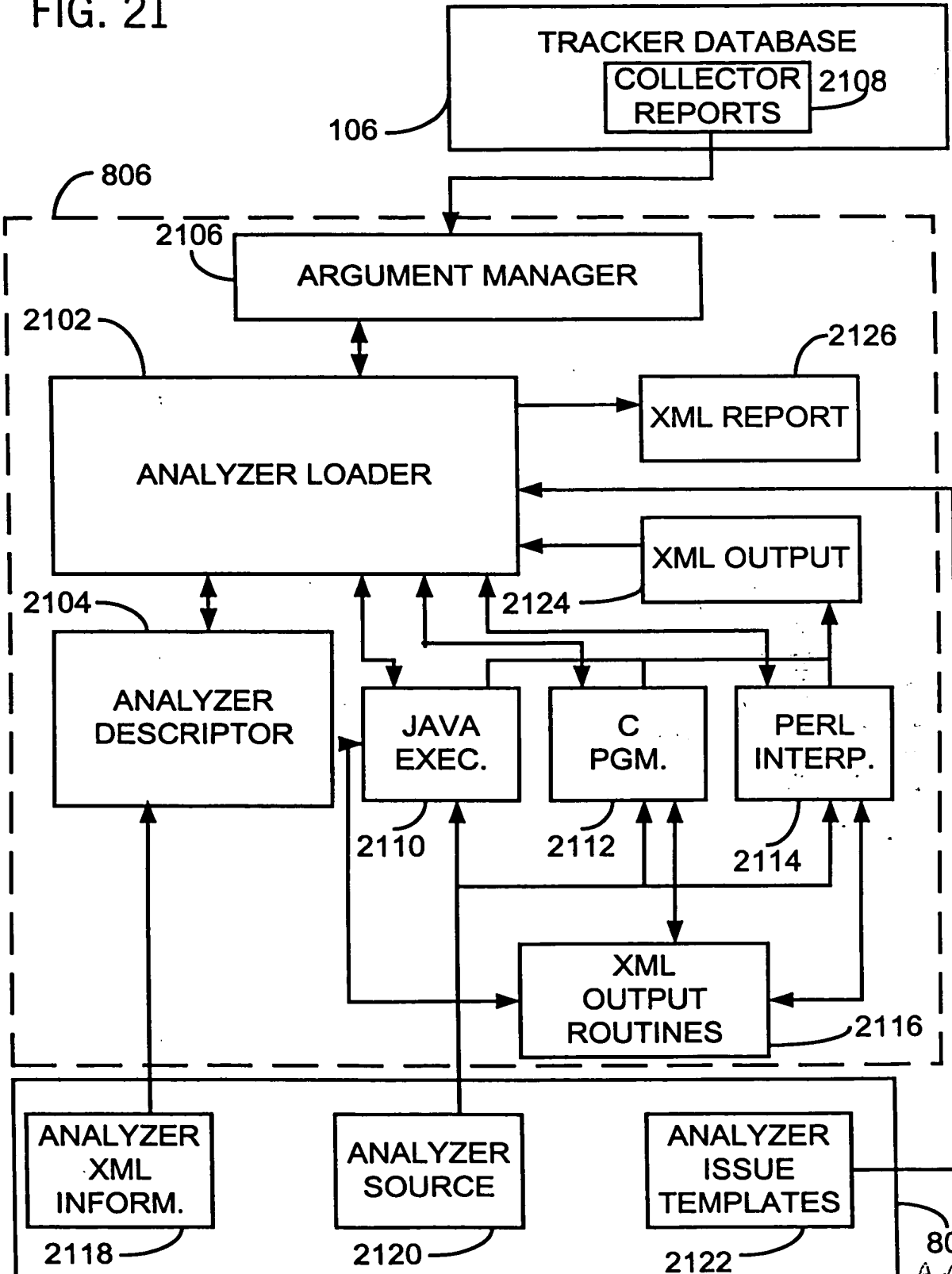
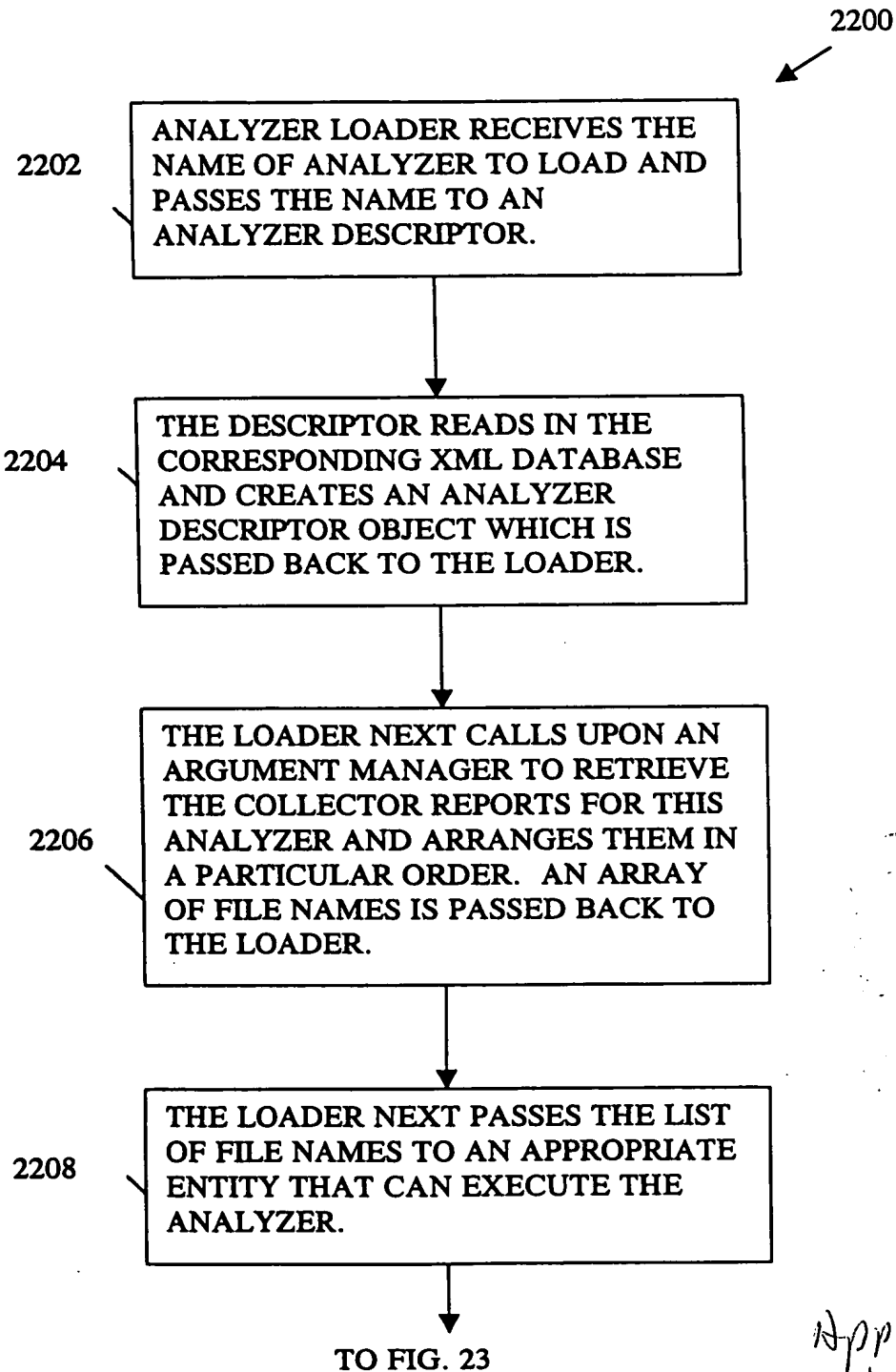




FIG. 22 ANALYZER HARNESS OPERATION



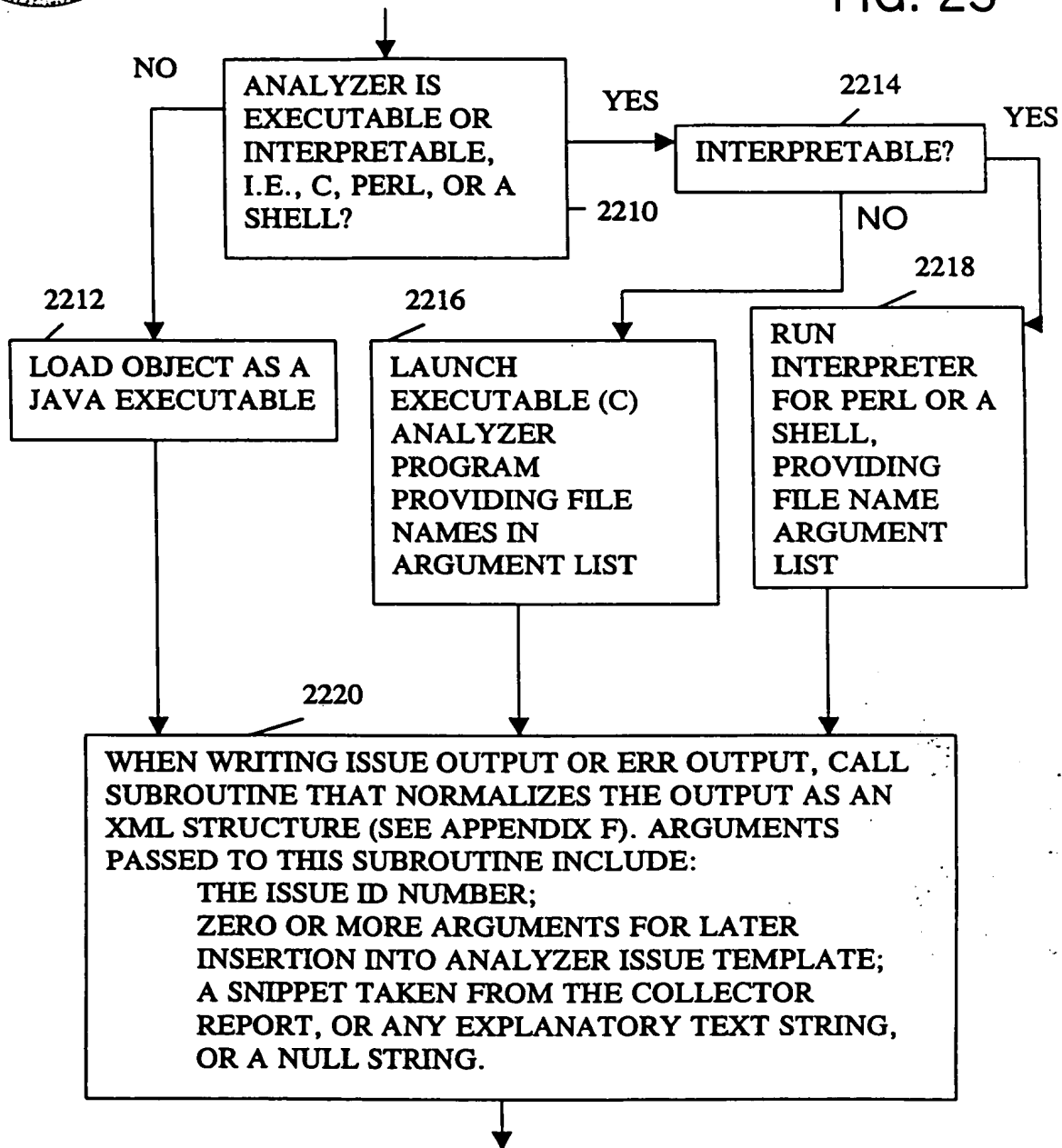
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m.v.





FROM FIG. 22

FIG. 23

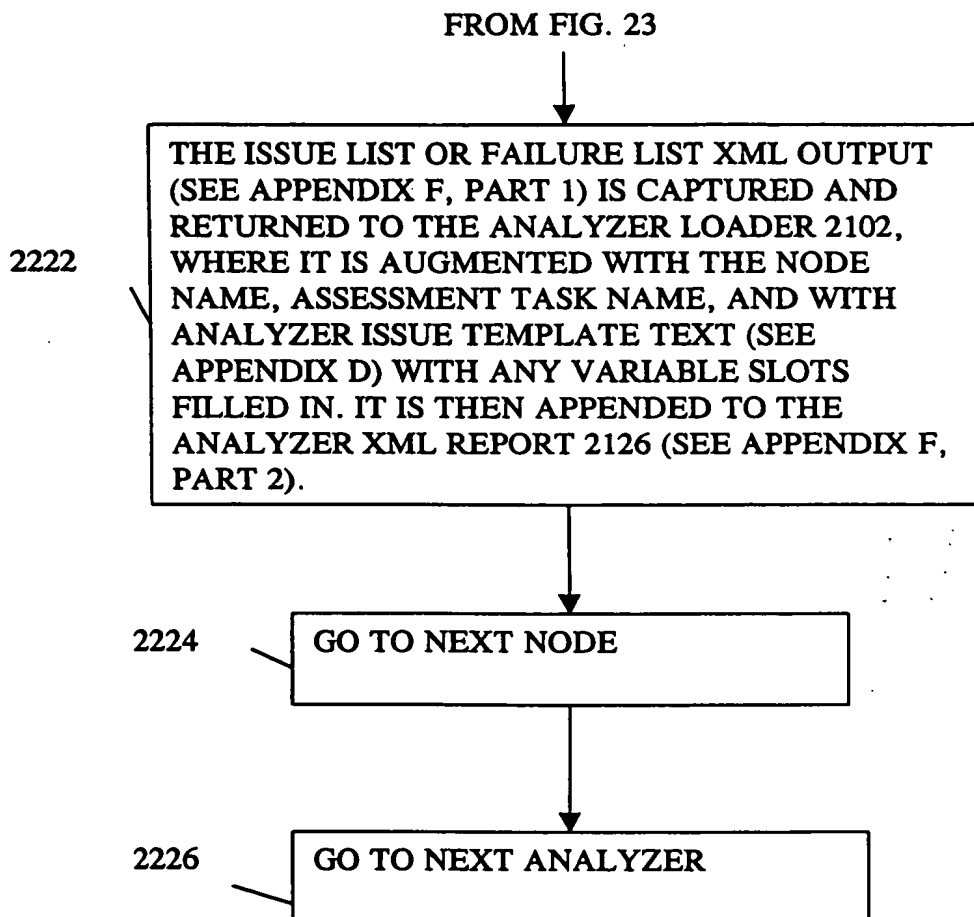


TO FIG. 24

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FIG. 24



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4/24/04  
qu. H.



FIG. 25

CONCEPTUAL ASSESSMENT XML HIERARCHY

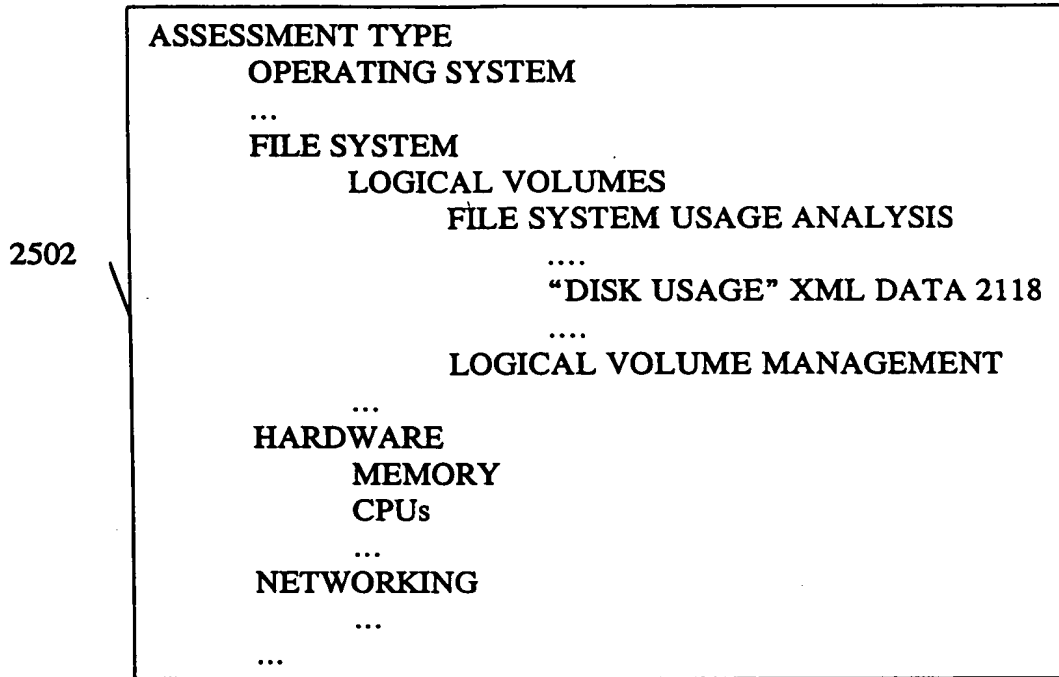
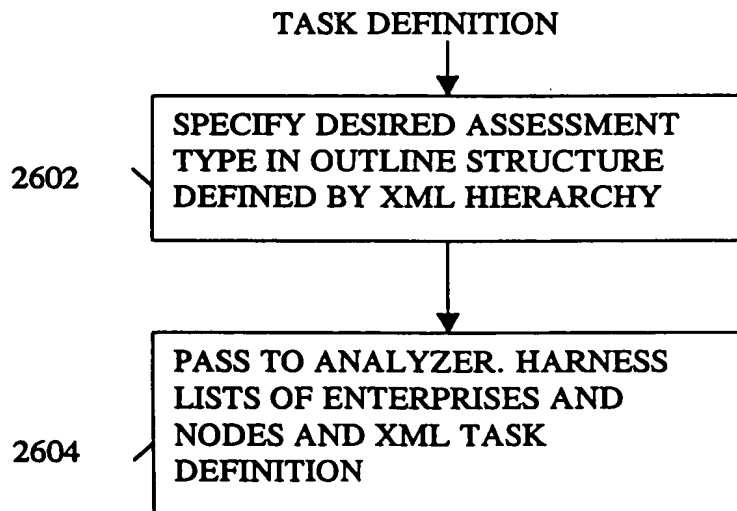


FIG. 26



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